GP Global Ltd
Tel.: +357-25755911 - Fax: +357-25755660
www.gpglobalcy.com - gpinfo@cytanet.com.cy

In cooperation with

Continuous Professional Training (CPT) Courses for persons registered in the public register

E ï Course: 26, 27 and 28 November 2019
Limassol: 11 December 2019

P.O. Box 59565, 4010 Limassol ï CYPRUS
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Continuous Professional Training for persons registered in the public register

The Need

Articles 17(1) and 17(2)(a) of the ñDirective regarding the certifications of persons and the certification registersò (RAD 44/2019) state that:

17(1) Registered persons shall be obliged to renew their registration in the certification register within two months from the end of each calendar.

17(2)(a) Registered persons in the certification register shall be deemed to have renewed their registration when they submit to the Commission a statement of continued professional training on topics that fall within the relevant legislative framework which applies to them and which relates directly to the duties which they will undertake as part of their certification, of a duration of **ten (10) hours for persons registered in the public register for the basic examination** and of a duration of **fifteen (15) hours for persons registered in the public register for the advanced examination, for each calendar year.**

The purpose of the above provision is to ensure that, the registered persons in the certification register («certified persons») are informed of the legal framework, they are obliged to comply with, when they provide/carry out investment services/activities.

The Aim

To satisfy CySecôs provision, for Continuing Professional Training, enabling CIFôs employees to maintain their certification.

To expand the knowledge and competence of CIFôs employees.

To answer and solve questions participants might have in the taught syllabus. In this respect:

All instructors have several years of experience, working for different companies in different positions learned how to deal with day to day issues and are able to help you move from legislation to practice, answer questions that arise as part of everyday practice and advise you how to tackle issues as part of your daily practice

Course Details

Continuing Professional Training (CPT) courses

Cost: Euro 120 + VAT per 5 CPDs per participant

Title	Dates	Time	Duration	Language	Venue
Improve your Companyôs AML control framework by analysing CySECôs Circulars concerning common and recurring weaknesses and/or deficiencies identified during the onsite and offsite inspections performed in relation to the prevention of ML/TF	26 November 2019	10:00 ï 15:30	5 CPDs	English	E-Course
Appropriateness Test & Appropriateness scoring	27 November 2019	10:00 ï 15:30	5 CPDs	English	E-Course
Market Abuse	28 November 2019	10:00 ï 15:30	5 CPDs	English	E-Course
Improve your Companyôs AML control framework by analysing CySECôs Circulars concerning common and recurring weaknesses and/or deficiencies identified during the onsite and offsite inspections performed in relation to the prevention of ML/TF	11 December 2019	09:00 ï 14:30	5 CPDs	English	CMS* Training Centre

* CMS Training Centre (St. Andrewôs House, 7 Argyris Eftaliotis Street, 3085 Limassol)

Additional information

For additional information please contact us at:
Tel.: +357 25755911
Email: gpinfo@cytanet.com.cy

PRESENTERS

All instructors have several years of experience, working for different companies in different positions learned how to deal with day to day issues and are able to help you move from legislation to practice, answer questions that arise as part of everyday practice and advise you how to tackle issues as part of your daily practice

George Papanicolaou

Experience

George Papanicolaou has more than 18-years experience in the Financial industry. He worked for several years in managerial positions as area Manager, Head of Brokerage, Compliance Officer, Anti Money Laundering Officer, General Manager and Executive Director in Cypriot Investment Firms as well as Managing Director of GP GLOBAL LTD offering consulting services and training courses to Investment Firms, focuses in Internal Audit, compliance & AML issues. He offered numerous courses/seminars both in Cyprus and abroad in Investment Firms Law as well as in Compliance & Anti Money Laundering. George Papanicolaou is also a Chairman of a Nomination Committee in a company listed in the Oslo Stock Exchange.

Education

George Papanicolaou holds a BSc in Electronic Engineering from the University of Texas at Austin (USA), an MBA with specialization in Finance from Leicester University (UK) and a Postgraduate Certificate in the Mechanics of Risk Management from Middlesex University (UK). He also holds an ICA International Diploma in Anti Money Laundering from the International Compliance Association and the University of Manchester, as well as an Advance certificate from the Cyprus Securities and Exchange Commission for the provision of investment services/activities.

He is a Fellow of the International Compliance Association (FICA), Mentor of ICA new students, member of the Cyprus Institute of Internal Auditors and the first Network chair for Cyprus of the International Compliance association.

Stathis Christophi

Experience

Mr. Christophi began his career as an external auditor with Andersen Worldwide and Pannell Kerr Foster. He then joined the internal audit department of Eurobank in Greece. After spending 3 years with Eurobank he joined HSBCôs Investment Banking division in London where he was Associate Director in the financial institutions group, specializing in mergers and acquisitions as well as capital raising transactions for banks and other financial institutions.

He was also Chief Financial Officer and a member of the investment committee at Dafolia Management (family office) in Amsterdam. His latest role was that of Chief Financial Officer at a Global FX Broker.

Education

Mr. Christophi holds a Bachelorôs Degree in Accounting and Financial Management from the University of Essex and an MBA from the Kellogg School of Management at Northwestern University.

He is a Fellow of the Institute of Chartered Accountants in England & Wales (FCA) and a Member of the Association of Certified Fraud Examiners (CFE).

Demetris Kyriacou

Experience

Mr. Kyriacou began his career in the financial services industry as a registered Securities Representative with Raymond James (USA). He then worked on several Global organizations holding key positions such as Head of Portfolio Management and Chief Financial Services Manager at ICFI (part of Interros Group) as well as Director of Financial Services and Chief Compliance Officer at FxPro Financial Services. He then joined IronFX Global where he held the role of General Manager and Chief Compliance Officer. Mr Kyriacou is an expert in Global licensing and setup of investment firms in multiple jurisdictions as well as compliance and regulatory related matters. Mr Kyriacou is also a member of a committee in a company listed in the Oslo Stock Exchange.

Education

Mr. Kyriacou holds a Bachelorôs Degree in Finance from Michigan State University and an MBA from Eastern Michigan University.

He is a Chartered Financial Analyst (CFA) and a holder of various professional certifications issued by the CySEC (Advanced level) and the HCMC (Financial Instruments and Market Analyst). Mr. Kyriacou has also held the Series 63 and the Series 7 certifications.

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Seminar Content

Continuing Professional Training course	
Venue: CMS Training Centre **Date: 11 December 2019** **Time: 09:00 ï 14:30** **(5 CPD)**	**Venue: E-course** **Date: 26 November 2019** **Time: 10:00 ï 15:30** **(5 CPD)**

Improve your Companyôs AML control framework by analysing CySECôs Circulars concerning common and recurring weaknesses and/or deficiencies identified during the onsite and offsite inspections performed in relation to the prevention of ML/TF

- Analysis of CYSECôs Findings during onsite inspections in CIFs and ASPs ï CYSECôs observations ï suggested actions by obliged entities
- Circular No.: C152 - Common and recurring observations from onsite inspections in relation to the prevention of money laundering and terrorist financing
  - Analysis of CYSECôs Findings ï CYSECôs observations ï **suggested actions by obliged entities**
- Circular No.: C189 - Findings of the assessment of the Compliance Officersô Annual Reports and the Internal Audit Reports on the prevention of money laundering and terrorist financing
  - Analysis of CYSECôs Findings ï CYSECôs observations ï **suggested actions by obliged entities**
- Circular No.: 255 - Findings of the assessment of Compliance Officersô Annual Reports and the Internal Audit Reports on the prevention of money laundering and terrorist financing
  - Analysis of CYSECôs Findings ï CYSECôs observations ï **suggested actions by obliged entities**
- Circular No.: C260 - Common and recurring weaknesses and/or deficiencies and best practice standards identified during the onsite inspections performed in relation to the prevention of money laundering and terrorist financing
- Circular No.: C307 - Findings of the assessment of Compliance Officersô Annual Reports and the Internal Audit Reports on the prevention of money laundering and terrorist financing
  - Analysis of CYSECôs Findings ï CYSECôs observations ï **suggested actions by obliged entities**
- Circular No.: C314 - Common weaknesses/deficiencies and good practices identified during the onsite inspections performed in relation to the prevention of money laundering and terrorist financing
  - Analysis of CYSECôs Findings ï CYSECôs observations ï **suggested actions by obliged entities**
- Circular No.: C315 - National Risk Assessment on Money Laundering and Terrorist Financing - Regulated Entitiesôtargeted training to staff in suspicious activity monitoring, reporting and typologies
  - Analysis of CYSECôs Findings ï CYSECôs observations ï **suggested actions by obliged entities**
- Circular No.: C317 - National Risk Assessment on Money Laundering and Terrorist Financing -Improvement of data collection and statistics by Regulated Entities
  - Analysis of CYSECôs Findings ï CYSECôs observations ï **suggested actions by obliged entities**
- Circular No.: C318 - National Risk Assessment on Money Laundering and Terrorist Financing ï Automated screening systems to enhance customer due diligence measures
  - Analysis of CYSECôs Findings ï CYSECôs observations ï **suggested actions by obliged entities**

Duration of Training	**5,00**		

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Seminar Content

Continuing Professional Training course	
Venue: E-course **Date: 27 November 2019** **Time: 10:00 ï 15:30** **(5 CPD)**	
Appropriateness Test & Appropriateness scoring	
• What is Appropriateness test • The differences between Appropriateness and Suitability test • Assessment of appropriateness and suitability (summary) • Provisions common to the assessment of appropriateness or suitability • Assessment of appropriateness and related record-keeping obligations • The assessment of appropriateness when offering CFDs or other speculative products to retail investors • What information should be gathered by firms to assess the appropriateness of CFDs and other speculative products for retail clients? • What action should a firm take where the assessment of appropriateness indicates that a CFD or another speculative product is not appropriate for a client, but the client wishes to proceed with the transaction?	• In addition to information collected to establish the knowledge and experience of the client, how should a firm offering CFDs or other speculative products consider other information that may be available relating to the clientôs situation? • What methods can NCAs use to assess whether the appropriateness assessment is being performed correctly? • Determining situations where the appropriateness assessment is required • Obtaining information from clients • Assessment of appropriateness (detail analysis) • Warnings to clients • Qualifications of firmôs staff • Record-keeping • Appropriateness Test - Scoring

Duration of Training	**5,00**		

Seminar Content

Continuing Professional Training course	
Venue: E-course **Date: 28 November 2019** **Time: 10:00 ï 15:30** **(5 CPD)**	
Market Abuse	
• Market Abuse concept and MAR objectives • Applicable Market Abuse regulatory framework, background and history of the changes • Market Abuse Directive (MAD) and Market Abuse Regulation (MAR), key points, applicability and further enhancements • European Securities and Markets Authority (ESMA) role in Market Abuse	• Prevention, Monitoring and Detection of Market Abuse • Reporting Obligations: Suspicious Transaction and Order Report (STOR) • MiFIR: Transaction Reporting for the detection and investigation of market abuse, insider dealing and market manipulation • Staff training and awareness in the monitoring,

• ESMAôs input to develop draft regulatory technical standards (RTS), implement technical standards (ITS) and provide technical advices • Insider Dealing, Inside Information, unlawful disclosure of inside information and Market manipulation • Market Sounding Obligations and Accepted Market practices		detection and identification of orders and transactions that could constitute insider dealing, market manipulation or attempted insider dealing/ market manipulation • Disclosure Requirements • Administrative measures and sanctions	
Duration of Training	**5,00**		



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Continuous Professional Training of the persons registered in the public register Cyprus Investment Firms

REGISTRATION FORM

(Please use one registration form for each course)

Course subject	Venue	Date / Time	
Improve your Companyôs AML control framework by analysing CySECôs Circulars concerning common and recurring weaknesses and/or deficiencies identified during the onsite and offsite inspections performed in relation to the prevention of ML/TF **Check whether Eligible for reduced Fees**	CMS Training Centre	11 December 2019 09:00 ï 14:30	☐
	E-Course	26 November 2019 10:00 ï 15:30	☐

Course subject	Venue	Date / Time	
Appropriateness Test & Appropriateness scoring **Check whether Eligible for reduced Fees**	E-Course	27 November 2019 10:00 ï 15:30	☐

Course subject	Venue	Date / Time	
Market Abuse **Check whether Eligible for reduced Fees**	E-Course	28 November 2019 10:00 ï 15:30	☐

FOR CLIENTS* OF GP GLOBAL LTD and DENEO PARTNERS: Euro 90 + VAT

Eligible for reduced Fees?	
Client of GP GLOBAL LTD	☐
Client of DENEO PARTNERS	☐

* Clients to whom the following services are offered: Internal Audit, Compliance Outsourcing, Risk Management Outsourcing, Consulting services on a retainer basis.

COMPANY DETAILS

Company Name:		Fax:	
Contact Person:		Email:	
Telephone:		Website:	
Address:			

PARTICIPANTS INFORMATION

	Name	Surname	Email	Mobile No.
1.				
2.				
3.				
4.				
5.				

Invoices are issued upon registration and they are due payable at least 15 days prior to the beginning of each course. We consider that by signing this form, you commit in setting the invoice for the seminar.

Cancellation policy: The course will run subject to a minimum number of participants and GP GLOBAL LTD shall not bear any responsibility and/or liability for any damages to the participants due to course(s) cancellation.

- 100% refund in case of cancellation of the course by GP GLOBAL LTD
- 50% refund for cancellations received in writing by 7 days prior to the beginning of each course

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Date: _____ Signature _____